

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Applicant:

Robert E. Smith, III

Serial No. 10/806,661

Filed: March 23, 2004

For: Junction Plate Assembly

For Undersea Hydraulic Couplings

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Group Art Unit: 3679

Examiner Name:

Confirmation No.: 1157

Atty. Docket No. 221-0077US

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P O Box 1450  
Alexandria VA 22313-1450

Dear Sir:

Applicant hereby submits the following reference in accordance with 37 C.F.R. § 1.56 and 1.97. Copies of any foreign or publication references cited in the attached PTO-1449 are enclosed.

Respectfully submitted,

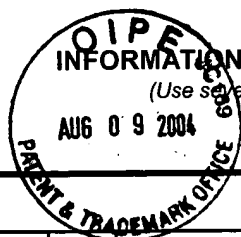
Christopher D. Keirs, Reg. No. 32,248

Wong, Cabello, Lutsch,  
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20333 SH 249, Suite 600  
Houston, TX 77070  
832/446-2400  
Fax 832/446-2424

CERTIFICATE OF MAILING UNDER 1.8(a)

I hereby certify that this document is being sent via Regular Mail addressed to Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450 on this 4th day of August, 2004.

  
Rebecca R. Ginn

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

AUG 09 2004

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221-0077US

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10/806,661

ROBERT E. SMITH, III

FILING

MARCH 23, 2004

GROUP

3679

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,471,250 B2	10/29/2002	SMITH, III	285	124.1	
	2	2,129,704 A	09/1938	MEYER			
	3	3,201,151 A	08/1965	WESTVEER			
	4	3,214,195 A	10/1965	ZAHURANEC, ET AL.	285	124.1	
	5	3,217,746 A	11/1965	VOISINE			
	6	3,527,480 A	09/1970	LARSON			
	7	3,551,005 A	12/1970	BRUN			
	8	3,625,251 A	12/1971	NELSON			
	9	3,710,296 A	01/1973	URANI	337	188	
	10	3,918,485 A	11/1975	WEBER, ET AL.			
	11	3,960,393 A	06/1976	HOSOKAWA, ET AL.	285	124.4	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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MARCH 23, 2004GROUP  
3679**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12	4,076,279 A	02/1978	KLOTZ, ET AL.			
	13	4,080,025 A	03/1978	GARNIER, ET AL.	285	27	
	14	4,089,549 A	05/1978	VYSE, ET AL.			
	15	4,426,104 A	01/1984	HAZELRIGG			
	16	4,453,566 A	06/1984	HENDERSON, JR., ET AL			
	17	4,460,156 A	07/1984	HAZELRIGG, ET AL			
	18	4,597,413 A	07/1986	BUSETH			
	19	4,637,470 A	01/1987	WEATHERS, ET AL.			
	20	4,666,187 A	05/1987	KOOT			
	21	4,915,419	04/1990	SMITH, III			
	22	4,925,154	05/1990	BAKER			

**FOREIGN PATENT DOCUMENTS**

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							YES	NO

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**3679**

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	23	5,342,098 A	08/1994	WILKINS			
	24	5,829,480 A	11/1998	SMITH, III			
	25	5,899,228 A	05/1999	SMITH, III			
	26	5,920,934 A	07/1999	HANNAGAN, ET AL	285	124.1	
	27	6,017,065 A	01/2000	HELLESOE	285	124.1	
	28	6,106,026 A	08/2000	SMITH, III			
	29	6,173,853 B1	01/2001	SMITH, III			
	30	5,265,980	11/30/1993	LUGO, ET AL.	405	169	

## **FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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